

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 H.S.C. 371

ATTORNEY'S DOCKET NUMBER

VER-191XX

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

- CONCERNING A SOBIMIC	10/538,531	
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/NL03/00884	12 December 2003	12 December 2002
TITLE OF INVENTION		

	ESTEROL-LOWERING PREPARATION, FOOD SUPPLEMENT AND FOODSTUFF, AND METHODS FOR ARING SAME
	ANT(S) FOR DO/EO/US
Josep	hus Jan Emeis, Christophe Lasseur
Applica	nt herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1.	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3.	This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. D	The US has been elected (Article 31).
5. ² 🗆	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
	a.
	b. has been communicated by the International Bureau.
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. L	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
	a. is attached hereto.
	b. had been previously submitted under 35 U.S.C. 154(d)(4).
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
	a. \square are attached herewith (required only if not communicated by the International Bureau).
	b. have been communicated by the International Bureau.
	c. have not been made; however, the time limit for making such amendments has NOT expired.
	d. have not been made and will not be made.
8. L	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. 🖸	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Ite	ms 11 to 20 below concern document(s) or information included:
11. E	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. 	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. 	A preliminary amendment.
14. C	An Application Data Sheet under 37 CFR 1.76.
15. 	A substitute specification.
16. C	A power of attorney and/or change of address letter.
17. 	A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. 	A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. 	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.	Other items or information: Copy of 371 Formalities Letter dated November 23, 2005

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14 Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Number

EV 223331346 US

	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO			ATTORNEY'S DOCKET NUMBER					
	10/538,531 PCT/NL03/00884			VER-191XX					
	The foliowing	The following fees have been submitted:				CAL	CULATIONS	PTO USE ONLY	
	21. 🔲 Basi	c national fee	<u></u>		••••••	\$300.00	\$		
		nination fee							
	provisions o	f PCT Article 33	(1)-(4)		by USPTO and all claims	\$100.00			
	provisions of PCT Article 33(1)-(4)					\$200.00	\$		
		ch fee) baa baan naid	l on the i	ntornational application to	the USBTO			
	as an Interna	ational Searchin	g Authority		nternational application to	\$100.00			
	International Search Report prepared and provided to the Office					\$			
		OTAL OF 21, 2					\$		
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic								
				tional 50 sheets of paper or fraction thereof.				-	
	Total Sheets	Extra Sheets			Iditional 50 or fraction o a whole number)	RATE			
	- 100 =	/ 50 =		•		X \$250.00	\$		
	Surcharge of \$1 claimed priority			or declaration later than 30 months from the earliest		rom the earliest	\$ 13	30.00	
	CLAIM		IUMBER FILED		NUMBER EXTRA	RATE			
	Total claims		- 20	=		X \$ 50.00	\$		
	Independent cla	ims	- 3	=		× \$200.00	\$		
	MULTIPLE DEP	ENDENT CLAI	M(S) (if applica	icable) + \$360.00		•	\$		
	TOTAL OF ABOVE CALCULATIONS =				CULATIONS =	<u> </u>	30.00		
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					\$			
	Describer	- (0400 00 ((-		-8-6-4	-1-4: 1-4 4 20	SUBTOTAL =		30.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(I)).									
TOTAL NATIONAL FE						\$ 130.00			
./.	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$				
	TOTAL FEES ENCLOSED = 2/(7/2005 MKAYPAGH 00000103 10538531			\$ 1:	30.00				
12/0					ount to be	\$			
	iC:1617 130.00 OP				Am	unded: ount to be	\$		
٧. ا	[D]		200100 0.			: ia	Cha	arged:	
a. A check in the amount of \$\frac{130.00}{\text{ is endosed for the assignment recordation, is enclosed.}} to cover the above fees, except assignment recordation, is enclosed. A check in the amount of \$\frac{130.00}{\text{ is endosed for the assignment recordation fee.}}									
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Deposit Account No23-0804. A duplicate copy of this sheet is enclosed.								
							yment to Deposit		
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
	SEND ALL CORRESPONDENCE TO: which is associated with the Law Firm of:								
	WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP Ten Post Office Square Boston, Massachusetts 02109 United States Telephone: (617) 542-2290 Fax: (617) 451-0313					CI LLP			
	Attorney Signature: Market Date: 11-35-5								
	Attorney Name: Charles L. Gagnebin III Registration No: 25,467								



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY	Y. DOCKET NO.	
10/538,531	Josephus Jan Emeis	VER-191XX		
	Γ	INTERNATIONAL AP	PLICATION NO.	
·	_	PCT/NL03/00884		
207		I.A. FILING DATE	PRIORITY DATE	
WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP		12/12/2003	12/12/2002	

WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP TEN POST OFFICE SQUARE BOSTON, MA 02109

CONFIRMATION NO. 4249 371 FORMALITIES LETTER *OC000000017504631*

Date Mailed: 11/23/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/10/2005
- Copy of the International Search Report filed on 06/10/2005
- Preliminary Amendments filed on 06/10/2005
- Information Disclosure Statements filed on 06/10/2005
- U.S. Basic National Fees filed on 06/10/2005
- Priority Documents filed on 06/10/2005

RECEIVED

NOV 28 2005

WEINGARTEN, SCHURGIN, CACHEBIN & LEBOVICI LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

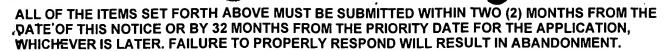
SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

Express Mail Number

EV 223331346 US



The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

	·	
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,531	PCT/NL03/00884	VER-191XX

FORM PCT/DO/EO/905 (371 Formalities Notice)